Internal Parametricity and Cubical Type Theory

Evan Cavallo & Robert Harper

Carnegie Mellon University



Internal Parametricity

D Bernardy & Moulin.

A Computational Interpretation of Parametricity. 2012. Type Theory in Color. 2013.

Bernardy, Coquand, & Moulin.A Presheaf Model of Parametric Type Theory. 2015.

Parametric Quantifiers for Dependent Type Theory. 2017.

C & Harper. [arXiv:1901.00489] Parametric Cubical Type Theory. 2019.

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THIS TALK: What is internal parametricity, and how does it relate to higher-dimensional type theory?

Parametric polymorphism, intuitively

Derived Parametric functions are "uniform" in type variables:

 $egin{aligned} \lambda a.a \in X &
ightarrow X \ \lambda a.\lambda b.a \in X &
ightarrow Y
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ightarrow X)
ightarrow X
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D Compare with "ad-hoc" polymorphism:

 $\lambda a. \begin{bmatrix} \mathsf{true}, & \mathrm{if } X = \mathsf{bool} \\ a, & \mathrm{otherwise} \end{bmatrix} \in X \to X$

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DEF: A family of (set-theoretic) functions is parametric when it preserves all relations. e.g.,

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^{III} Abstraction theorem: the denotation of any term in simply-typed λ-calculus (with ×, bool) is parametric.

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 $F_A(a) = a$

 \downarrow

$F_X \in (X o X) o (X o X)$:



$$F_X \in (X \to X) \to (X \to X)$$
:

for all sets A, B and $R \subseteq A \times B$, for all $f: A \to A, g: B \to B$, if R(a, b) implies R(fa, gb), then R(a, b) implies $R((F_A f)a, (F_B g)b)$

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$$\exists n \in \mathbb{N}. \ F_A(f) = f^n$$

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Given a type T and $V : Var(T) \rightarrow Set$, $\llbracket T \rrbracket_V \in Set$ Given a type T and $E : Var(T) \rightarrow Rel$, $\langle \langle T \rangle \rangle_E \subseteq \llbracket T \rrbracket_{\pi \circ \circ E} \times \llbracket T \rrbracket_{\pi \circ \circ E}$

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Given a type T and $V : \operatorname{Var}(T) \to \operatorname{Set}$, $\llbracket T \rrbracket_V \in \operatorname{Set}$ Given a type T and $E : \operatorname{Var}(T) \to \operatorname{Rel}$, $\langle \langle T \rangle \rangle_E \subseteq \llbracket T \rrbracket_{\pi_0 \circ E} \times \llbracket T \rrbracket_{\pi_1 \circ E}$ $\langle \langle X \rangle_E(a,b) := E(X)(a,b)$ $\langle \langle A \to B \rangle_E(f,g) := \forall (a,b) \in \langle A \rangle_E, \langle \langle B \rangle \rangle_E(fa,gb)$



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D Abstraction theorem extends interpretation to terms

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Can we internalize the relational interpretation in dependent type theory?

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ightarrow X
ightarrow X \ && \& \& \& \& \& \& \& \& \& \& \& \& \& X,Y:\mathcal{U})(R:X imes Y
ightarrow \mathcal{U}) \ && (a:X)(b:Y)(u:R\langle a,b
angle) \ &&
ightarrow R\langle FXa,GYb
angle \end{aligned}$

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$$\Gamma \vdash M \in A \ [x_1, \ldots, x_n]$$



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 category of dimension contexts could be: faces, degeneracies, and permutations [BCH]
 + diagonals [AFH, ABCFHL]
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univalence via G / Glue / V types

$X:\mathcal{U},a:X\vdash N\in B\ [\cdot]$

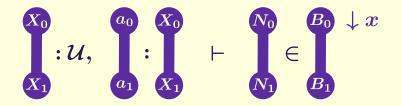
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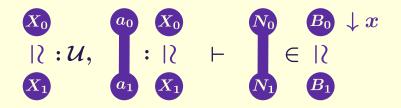


$X:\mathcal{U},a:Xdash N\in B\ [x]$



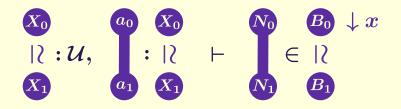


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Can we do the same for relations?



Context of bridge variables (colors)

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Faces: $M\langle \underline{0}/\underline{x}
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Internal Parametricity (Bernardy et al)

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Faces: $M \langle \underline{0}/\underline{x} \rangle, M \langle \underline{1}/\underline{x} \rangle^*$ Degeneracies: $M \in A \ [\Phi] \Rightarrow M \in A \ [\Phi, \underline{x}]$



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Faces, degeneracies, and permutations [BCH] Faces: $M\langle \underline{0}/\underline{x} \rangle, M\langle \underline{1}/\underline{x} \rangle^*$ Degeneracies: $M \in A \ [\Phi] \Rightarrow M \in A \ [\Phi, \underline{x}]$ Permutations: $M\langle \underline{y}/\underline{x} \rangle$ when $\underline{y} \# M$

$\begin{array}{c} A \text{ type } [\Phi,\underline{x}] \\ \underline{M_0 \in A \langle \underline{0} / \underline{x} \rangle \ [\Phi]} \quad M_1 \in A \langle \underline{1} / \underline{x} \rangle \ [\Phi] \\ \hline \\ \hline \text{Bridge}_{\underline{x},A}(M_0,M_1) \text{ type } [\Phi] \end{array}$

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A type $[\Phi, \underline{x}]$ $M_0 \in A\langle \underline{0}/\underline{x} \rangle \ [\Phi] \qquad M_1 \in A\langle \underline{1}/\underline{x} \rangle \ [\Phi]$ Bridge $_{x A}(M_0, M_1)$ type $[\Phi]$ $M \in A [\Phi, x]$ $\lambda^2 \underline{x}.M \in \mathsf{Bridge}_{x,A}(M\langle \underline{0}/\underline{x}\rangle, M\langle \underline{1}/\underline{x}\rangle) \ [\Phi]$ $\underline{r} \in \Phi \cup \{\underline{0}, \underline{1}\} \qquad P \in \mathsf{Bridge}_{x, A}(M_0, M_1) \ [\Phi^{\setminus \underline{r}}]$ $P@r \in A\langle r/x \rangle \ [\Phi]$



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In cartesian cubical type theories:

 $\mathsf{Path}_{x.A o B}(F,G) \ \simeq \ (a:A) o \mathsf{Path}_{x.B}(Fa,Ga) \ \lambda^{\mathbb{I}}x.\lambda a.P \ \leftrightarrow \ \lambda a.\lambda^{\mathbb{I}}x.P$

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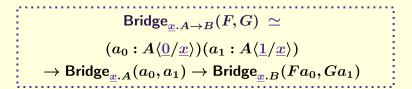
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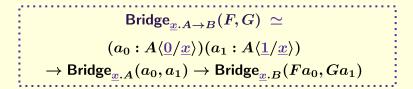
Relational interpretation of function types:

 $\mathsf{Bridge}_{\underline{x}:A \to B}(F,G) \simeq$ $(a_0: A\langle 0/x \rangle)(a_1: A\langle 1/x \rangle)$

 $ightarrow \operatorname{\mathsf{Bridge}}_{\underline{x}.A}(a_0,a_1)
ightarrow \operatorname{\mathsf{Bridge}}_{\underline{x}.B}(Fa_0,Ga_1)$

* equivalent in the presence of J





Forward:

 $H \longmapsto \lambda a_0.\lambda a_1.\lambda \overline{a}.\lambda^2 \underline{x}.(H@\underline{x})(\overline{a}@\underline{x})$



$$egin{aligned} \mathsf{Bridge}_{\underline{x}.A o B}(F,G) &\simeq \ & (a_0:A\langle \underline{0}/\underline{x}
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 $K \longmapsto ``\lambda^2 \underline{x}.\lambda a.K() () () @\underline{x}$

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Backward:

 $K \longmapsto ``\lambda^2 \underline{x}.\lambda a.K(a\langle \underline{0}/\underline{x} \rangle)(a\langle \underline{1}/\underline{x} \rangle)(\lambda^2 \underline{x}.a)@\underline{x}"$

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Backward:

 $K \longmapsto \lambda^2 \underline{x}. \lambda a. \mathsf{extent}_x(a; F, G, K)$

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Forward:

$$H \ \longmapsto \ \lambda a_0.\lambda a_1.\lambda \overline{a}.\lambda^2 \underline{x}.(H@\underline{x})(\overline{a}@\underline{x})$$

Backward:

 $K \mapsto \lambda^2 \underline{x}.\lambda a.\text{extent}_{\underline{x}}(a; F, G, K)$ "case analysis for dimension terms"

Stability of capture under substitution relies on absence of diagonals:

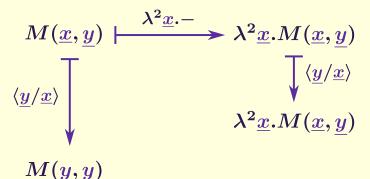
 $M(\underline{x}, \underline{y})$

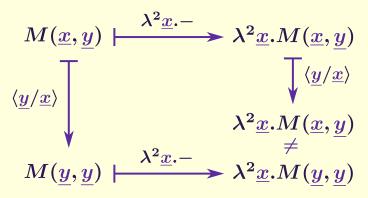


$$M(\underline{x},\underline{y}) \longmapsto^{\lambda^{2}\underline{x}.-} \rightarrow \lambda^{2}\underline{x}.M(\underline{x},\underline{y})$$

$$\begin{array}{c|c} M(\underline{x},\underline{y}) & \xrightarrow{\lambda^2 \underline{x}.-} & \lambda^2 \underline{x}.M(\underline{x},\underline{y}) \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & &$$







Bridges in the universe ("relativity") \square Want: Bridge_{\mathcal{U}} $(A, B) \simeq A \times B \rightarrow \mathcal{U}$



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Bridge $_{\underline{x}.C@\underline{x}}(a,b)$

Backward:

$$\begin{array}{cc} \underline{r} \in \Phi \cup \{0,1\} & R \in A \times B \to \mathcal{U} \; [\Phi^{\backslash \underline{r}}] \\ \\ \hline \mathsf{Gel}_{\underline{r}}(A,B,R) \; \mathsf{type} \; [\Phi] \\ \\ \mathsf{Gel}_{\underline{0}}(A,B,R) = A & \mathsf{Gel}_{\underline{1}}(A,B,R) = B \end{array}$$

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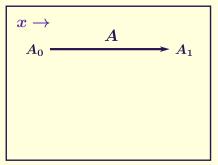
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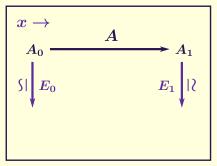
Backward:

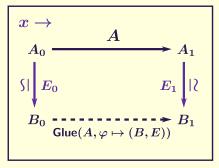
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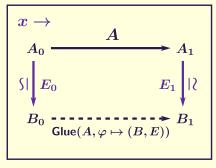
BCH G-types for relations

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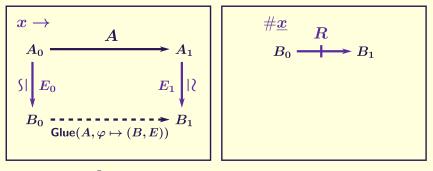


$$\lambda^{\mathbb{I}}_{-}.A$$
 \updownarrow
idEquiv (A)



cartesian (Glue/V)

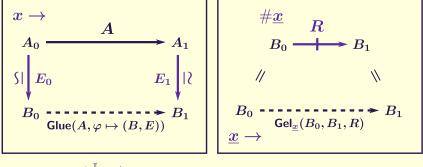
substructural (Gel)



$$\lambda^{\mathbb{I}}_{-}.A$$
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idEquiv (A)

cartesian (Glue/V)

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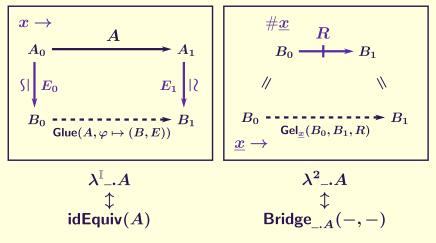


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Bridges in the universe ("relativity") \square Want: Bridge_U(A, B) $\simeq A \times B \rightarrow U$ \square Bernardy, Coquand, & Moulin: add equalities $\operatorname{Gel}_{\underline{r}}(A, B, \operatorname{Bridge}_{\underline{x}.C}(-, -)) = C\langle \underline{r}/\underline{x} \rangle \in U$ Bridge_{$\underline{x}.\operatorname{Gel}_{\underline{x}}(A, B, R)$}(M, N) = $R\langle M, N \rangle \in U$ Bridges in the universe ("relativity") \square Want: Bridge₁(A, B) $\simeq A \times B \rightarrow \mathcal{U}$ D Bernardy, Coquand, & Moulin: add equalities $\operatorname{Gel}_r(A, B, \operatorname{Bridge}_{r, C}(-, -)) = C\langle r/x \rangle \in \mathcal{U}$ $\mathsf{Bridge}_{x,\mathsf{Gel}_{\pi}(A,B,B)}(M,N) = R\langle M,N\rangle \in \mathcal{U}$ Validated by interpretation in refined presheaves Bridges in the universe ("relativity") \square Want: Bridge₁(A, B) $\simeq A \times B \rightarrow \mathcal{U}$ D Bernardy, Coquand, & Moulin: add equalities $\operatorname{Gel}_r(A, B, \operatorname{Bridge}_{r, C}(-, -)) = C\langle r/x \rangle \in \mathcal{U}$ $\mathsf{Bridge}_{x,\mathsf{Gel}_{\pi}(A,B,B)}(M,N) = R\langle M,N\rangle \in \mathcal{U}$ Validated by interpretation in refined presheaves

Alternative: use univalence?

$$\Gamma \vdash M \in A \ [\underline{x}_1, \dots, \underline{x}_n \mid x_1, \dots, x_n]$$



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Structural variables for paths, substructural variables for bridges

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Extend Kan operations to make Bridge types Kan

$$\operatorname{\mathsf{com}}_{x.A}^{r \rightsquigarrow s}(M; \overline{\xi_i} \hookrightarrow x.N_i)$$

where $\xi ::= (r = s) \mid (\underline{r} = \underline{\varepsilon})$

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w/ computational semantics

 $\begin{array}{l} \text{Parametric cubical type theory (C \& Harper)} \\ \\ \frac{M \in A \ [\Phi^{\setminus \underline{r}} \mid \Psi] \qquad N \in B \ [\Phi^{\setminus \underline{r}} \mid \Psi] \\ P \in R\langle M, N \rangle \ [\Phi^{\setminus \underline{r}} \mid \Psi] \\ \hline \\ \overline{\mathsf{gel}_r(M, N, P) \in \mathsf{Gel}_{\underline{r}}(A, B, R) \ [\Phi \mid \Psi]} \end{array}$



Parametric cubical type theory (C & Harper) $$\begin{split} & M \in A \; [\Phi^{\setminus \underline{r}} \mid \Psi] \quad N \in B \; [\Phi^{\setminus \underline{r}} \mid \Psi] \\ & \frac{P \in R\langle M, N \rangle \; [\Phi^{\setminus \underline{r}} \mid \Psi]}{\mathsf{gel}_{\underline{r}}(M, N, P) \in \mathsf{Gel}_{\underline{r}}(A, B, R) \; [\Phi \mid \Psi]} \\ & \mathsf{gel}_0(M, N, P) = M \quad \mathsf{gel}_1(M, N, P) = N \end{split}$$





Parametric cubical type theory (C & Harper) $M \in A \; [\Phi^{\setminus \underline{r}} \mid \Psi] \qquad N \in B \; [\Phi^{\setminus \underline{r}} \mid \Psi]$ $P \in R\langle M, N \rangle \left[\Phi^{\setminus \underline{r}} \mid \Psi \right]$ $\operatorname{\mathsf{gel}}_r(M,N,P)\in\operatorname{\mathsf{Gel}}_r(A,B,R)\ [\Phi\mid\Psi]$ $\operatorname{gel}_0(M, N, P) = M \quad \operatorname{gel}_1(M, N, P) = N$ $Q \in \mathsf{Gel}_x(A, B, R) \ [\Phi, x \mid \Psi]$ $\mathsf{ungel}(\underline{x}.Q) \in R\langle Q\langle \underline{0}/\underline{x} \rangle, Q\langle \underline{1}/\underline{x} \rangle \rangle \ [\Phi \mid \Psi]$





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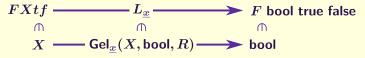
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③ Extract a witness.

 $ungel(\underline{x}.L_{\underline{x}}) \in Path_X(FXtf, if_X(F \text{ bool true false}; t, f))$

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What are the bridges in **bool**?



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$$\begin{array}{c} \operatorname{if}_{G_{\underline{x}}}(-;T_{\underline{x}},F_{\underline{x}}) \\ & \longrightarrow & G_{\underline{x}} \end{array}$$



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③ Prove this is an equivalence! (iterated parametricity)

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 $\square A$ is **bridge-discrete** when this is an equivalence

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- The sub-universe of bridge-discrete types is closed under all type formers except \mathcal{U} , including **Gel**



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Examples: excluded middle

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Examples: excluded middle ① Consider the weak excluded middle: $WLEM := (X : U) \rightarrow \neg X + \neg \neg X$ \downarrow \downarrow bool

- (2) Any function $\mathcal{U} \to \mathbf{bool}$ must be constant, because **bool** is bridge-discrete.
- (3) Thus, **WLEM** $\rightarrow \bot$.
- (4) Corollary: **LEM** $_1 \rightarrow \bot$, where

$$\mathsf{LEM}_{-1} := (X: \mathcal{U}_{\mathsf{Prop}}) o X + \neg X$$

Examples: excluded middle ① Consider the weak excluded middle: $WLEM := (X : U) \rightarrow \neg X + \neg \neg X$ \downarrow \downarrow \downarrow

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- (4) Corollary: **LEM** $_{-1} \rightarrow \bot$, where

$$\mathsf{LEM}_{-1} := (X: \mathcal{U}_{\mathsf{Prop}}) o X + \neg X$$

(see also: Booij, Escardó, Lumsdaine, & Shulman, Parametricity, automorphisms of the universe, and excluded middle)

data ΣA where | north | south | merid (a:A) $(x:\mathbb{I})$ $[x = 0 \hookrightarrow \text{north}, x = 1 \hookrightarrow \text{south}]$

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@ What are the terms $K \in (X : \mathcal{U}) \rightarrow \Sigma X \rightarrow \Sigma X$?

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Key Lemma:

 $\Sigma(\operatorname{Gel}_{\underline{r}}(A,B,\operatorname{Gr}(F))) \to \operatorname{Gel}_{\underline{r}}(\Sigma A,\Sigma B,\operatorname{Gr}(\Sigma F))$

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D Connection to ordinary cubical type theory



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- Semantic: for $\Gamma \vdash A$ type in cubical type theory without function types, a proof with bridges gives an element in cubical sets, +??

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Displaying Proving algebraic properties of HITs

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Proving algebraic properties of HITse.g., what are the terms of type

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conjecture: must be constant or identity Use to prove pentagon, hexagon, etc