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## 9 Simplicial sets

A **simplicial set** is a functor

$$X : \Delta^{op} \rightarrow \mathbf{Set},$$

ie. a contravariant set-valued functor defined on the ordinal number category  $\Delta$ .

One usually writes  $\mathbf{n} \mapsto X_n$ .

$X_n$  is the set of  $n$ -**simplices** of  $X$ .

A **simplicial map**  $f : X \rightarrow Y$  is a natural transformation of such functors.

The simplicial sets and simplicial maps form the category of simplicial sets, denoted by  $s\mathbf{Set}$  — one also sees the notation  $\mathbf{S}$  for this category.

If  $\mathcal{A}$  is some category, then a **simplicial object** in  $\mathcal{A}$  is a functor

$$A : \Delta^{op} \rightarrow \mathcal{A}.$$

Maps between simplicial objects are natural transformations.

The simplicial objects in  $\mathcal{A}$  and their morphisms form a category  $s\mathcal{A}$ .

**Examples:** 1)  $s\mathbf{Gr}$  = simplicial groups.

2)  $s\mathbf{Ab}$  = simplicial abelian groups.

3)  $s(R - \mathbf{Mod})$  = simplicial  $R$ -modules.

4)  $s(s\mathbf{Set}) = s^2\mathbf{Set}$  is the category of **bisimplicial sets**.

Simplicial objects are everywhere.

**Examples of simplicial sets:**

1) We've already met the *singular set*  $S(X)$  for a topological space  $X$ , in Section 4.

$S(X)$  is defined by the *cosimplicial space* (covariant functor)  $\mathbf{n} \mapsto |\Delta^n|$ , by

$$S(X)_n = \text{hom}(|\Delta^n|, X).$$

$\theta : \mathbf{m} \rightarrow \mathbf{n}$  defines a function

$$S(X)_n = \text{hom}(|\Delta^n|, X) \xrightarrow{\theta^*} \text{hom}(|\Delta^m|, X) = S(X)_m$$

by precomposition with the map  $\theta : |\Delta^m| \rightarrow |\Delta^n|$ .

The assignment  $X \mapsto S(X)$  defines a covariant functor

$$S : \mathbf{CGWH} \rightarrow s\mathbf{Set},$$

called the **singular functor**.

2) The ordinal number  $\mathbf{n}$  represents a contravariant functor

$$\Delta^n = \text{hom}_\Delta(\_, \mathbf{n}) : \Delta^{op} \rightarrow \mathbf{Set},$$

called the **standard  $n$ -simplex**.

$$\iota_n := 1_{\mathbf{n}} \in \text{hom}_\Delta(\mathbf{n}, \mathbf{n}).$$

The  $n$ -simplex  $\iota_n$  is the **classifying  $n$ -simplex**.

The Yoneda Lemma implies that there is a natural bijection

$$\text{hom}_{s\mathbf{Set}}(\Delta^n, Y) \cong Y_n$$

defined by sending the map  $\sigma : \Delta^n \rightarrow Y$  to the element  $\sigma(\iota_n) \in Y_n$ .

A map  $\Delta^n \rightarrow Y$  is an  *$n$ -simplex of  $Y$* .

Every ordinal number morphism  $\theta : \mathbf{m} \rightarrow \mathbf{n}$  induces a simplicial set map

$$\theta : \Delta^m \rightarrow \Delta^n,$$

defined by composition.

We have a covariant functor

$$\Delta : \Delta \rightarrow s\mathbf{Set}$$

with  $\mathbf{n} \mapsto \Delta^n$ . This is a *cosimplicial object* in  $s\mathbf{Set}$ .

If  $\sigma : \Delta^n \rightarrow X$  is a simplex of  $X$ , the  $i^{\text{th}}$  **face**  $d_i(\sigma)$  is the composite

$$\Delta^{n-1} \xrightarrow{d^i} \Delta^n \xrightarrow{\sigma} X,$$

The  $j^{\text{th}}$  **degeneracy**  $s_j(\sigma)$  is the composite

$$\Delta^{n+1} \xrightarrow{s^j} \Delta^n \xrightarrow{\sigma} X.$$

3)  $\partial\Delta^n$  is the subobject of  $\Delta^n$  which is generated by the  $(n-1)$ -simplices  $d^i$ ,  $0 \leq i \leq n$ .

$\Lambda_k^n$  is the subobject of  $\partial\Delta^n$  which is generated by the simplices  $d^i$ ,  $i \neq k$ .

$\partial\Delta^n$  is the **boundary** of  $\Delta^n$ , and  $\Lambda_k^n$  is the  $k^{\text{th}}$  **horn**.

The faces  $d^i : \Delta^{n-1} \rightarrow \Delta^n$  determine a covering

$$\bigsqcup_{i=0}^n \Delta^{n-1} \rightarrow \partial\Delta^n,$$

and for each  $i < j$  there are pullback diagrams

$$\begin{array}{ccc} \Delta^{n-2} & \xrightarrow{d^{j-1}} & \Delta^{n-1} \\ d^i \downarrow & & \downarrow d^i \\ \Delta^{n-1} & \xrightarrow{d^j} & \Delta^n \end{array}$$

(Excercise!). It follows that there is a coequalizer

$$\bigsqcup_{i < j, 0 \leq i, j \leq n} \Delta^{n-2} \rightrightarrows \bigsqcup_{0 \leq i \leq n} \Delta^{n-1} \longrightarrow \partial\Delta^n$$

in  $s\mathbf{Set}$ .

Similarly, there is a coequalizer

$$\bigsqcup_{i < j, i, j \neq k} \Delta^{n-2} \rightrightarrows \bigsqcup_{0 \leq i \leq n, i \neq k} \Delta^{n-1} \longrightarrow \Lambda_k^n.$$

4) Suppose the category  $C$  is **small**, ie. the morphisms  $\text{Mor}(C)$  (and objects  $\text{Ob}(C)$ ) form a set.

Examples include all finite ordinal numbers  $\mathbf{n}$  (because they are posets), all monoids (small categories having one object), and all groups.

There is a simplicial set  $BC$  with  $n$ -simplices

$$BC_n = \text{hom}(\mathbf{n}, C),$$

ie. the functors  $\mathbf{n} \rightarrow C$ .

The simplicial structure on  $BC$  is defined by precomposition with ordinal number maps: if  $\theta : \mathbf{m} \rightarrow \mathbf{n}$  is an ordinal number map (aka. functor) and  $\sigma : \mathbf{n} \rightarrow C$  is an  $n$ -simplex, then  $\theta^*(\sigma)$  is the composite functor

$$\mathbf{m} \xrightarrow{\theta} \mathbf{n} \xrightarrow{\sigma} C.$$

The object  $BC$  is called the **classifying space** or **nerve** of  $C$  (the notation  $NC$  is also common).

If  $G$  is a (discrete) group,  $BG$  “is” the standard classifying space for  $G$  in  $\mathbf{CGWH}$ , which classifies principal  $G$ -bundles.

**NB:**  $B\mathbf{n} = \Delta^n$ .

5) Suppose  $I$  is a small category, and  $X : I \rightarrow \mathbf{Set}$  is a set-valued functor (aka. a diagram in sets).

The **translation category** (“category of elements”)  $E_I(X)$  has objects given by all pairs  $(i, x)$  with  $x \in X(i)$ .

A morphism  $\alpha : (i, x) \rightarrow (j, y)$  is a morphism  $\alpha : i \rightarrow j$  of  $I$  such that  $\alpha_*(x) = y$ .

The simplicial set  $B(E_I X)$  is the **homotopy colimit** for the functor  $X$ . One often writes

$$\underline{\mathrm{holim}}_I X = B(E_I X).$$

Here’s a different description of the nerve  $BI$ :

$$BI = \underline{\mathrm{holim}}_I *.$$

$BI$  is the homotopy colimit of the (constant) functor  $I \rightarrow \mathbf{Set}$  which associates the one-point set  $*$  to every object of  $I$ .

There is a functor

$$E_I X \rightarrow I,$$

defined by the assignment  $(i, x) \mapsto i$ .

This functor induces a simplicial set map

$$\pi : B(E_I X) = \underline{\mathrm{holim}}_I X \rightarrow BI.$$

A functor  $\mathbf{n} \rightarrow C$  is specified by a string of arrows

$$a_0 \xrightarrow{\alpha_1} a_1 \xrightarrow{\alpha_2} \dots \xrightarrow{\alpha_n} a_n$$

in  $C$ , for then all composites of these arrows are uniquely determined.

The functors  $\mathbf{n} \rightarrow E_I X$  can be identified with strings

$$(i_0, x_0) \xrightarrow{\alpha_1} (i_1, x_1) \xrightarrow{\alpha_2} \dots \xrightarrow{\alpha_n} (i_n, x_n).$$

Such a string is specified by the underlying string  $i_0 \rightarrow \dots \rightarrow i_n$  in the index category  $Y$  and  $x_0 \in X(i_0)$ .

It follows that there is an identification

$$(\underline{\text{holim}}_I X)_n = B(E_I X)_n = \bigsqcup_{i_0 \rightarrow \dots \rightarrow i_n} X(i_0).$$

The construction is functorial with respect to natural transformations in diagrams  $X$ .

A diagram  $X : I \rightarrow s\mathbf{Set}$  in simplicial sets (a simplicial object in set-valued functors) determines a simplicial category  $m \mapsto E_I(X_m)$  and a corresponding bisimplicial set with  $(n, m)$  simplices

$$B(E_I X)_m = \bigsqcup_{i_0 \rightarrow \dots \rightarrow i_n} X(i_0)_m.$$

The **diagonal**  $d(Y)$  of a bisimplicial set  $Y$  is the simplicial set with  $n$ -simplices  $Y_{n,n}$ . Equivalently,

$d(Y)$  is the composite functor

$$\Delta^{op} \xrightarrow{\Delta} \Delta^{op} \times \Delta^{op} \xrightarrow{Y} \mathbf{Set}$$

where  $\Delta$  is the diagonal functor.

The diagonal  $dB(E_I X)$  of the bisimplicial set  $B(E_I X)$  is the **homotopy colimit**  $\underline{\text{holim}}_I X$  of the functor  $X : I \rightarrow s\mathbf{Set}$ .

There is a natural simplicial set map

$$\pi : \underline{\text{holim}}_I X \rightarrow BI.$$

6) Suppose  $X$  and  $Y$  are simplicial sets. The **function complex**

$$\mathbf{hom}(X, Y)$$

has  $n$ -simplices

$$\mathbf{hom}(X, Y)_n = \text{hom}(X \times \Delta^n, Y).$$

If  $\theta : \mathbf{m} \rightarrow \mathbf{n}$  is an ordinal number map and  $f : X \times \Delta^n \rightarrow Y$  is an  $n$ -simplex of  $\mathbf{hom}(X, Y)$ , then  $\theta^*(f)$  is the composite

$$X \times \Delta^m \xrightarrow{1 \times \theta} X \times \Delta^n \xrightarrow{f} Y.$$

There is a natural simplicial set map

$$ev : X \times \mathbf{hom}(X, Y) \rightarrow Y$$

defined by

$$(x, f : X \times \Delta^n \rightarrow Y) \mapsto f(x, \mathbf{l}_n).$$

Suppose  $K$  is a simplicial set.

The function

$$ev_* : \text{hom}(K, \mathbf{hom}(X, Y)) \rightarrow \text{hom}(X \times K, Y),$$

is defined by sending  $g : K \rightarrow \mathbf{hom}(X, Y)$  to the composite

$$X \times K \xrightarrow{1 \times g} X \times \mathbf{hom}(X, Y) \xrightarrow{ev} Y.$$

The function  $ev_*$  is a *bijection*, with inverse that takes  $f : X \times K \rightarrow Y$  to the morphism  $f_* : K \rightarrow \mathbf{hom}(X, Y)$ , where  $f_*(y)$  is the composite

$$X \times \Delta^n \xrightarrow{1 \times y} X \times K \xrightarrow{f} Y.$$

The natural bijection

$$\text{hom}(X \times K, Y) \cong \text{hom}(K, \mathbf{hom}(X, Y))$$

is called the **exponential law**.

$s\mathbf{Set}$  is a *cartesian closed category*.

The function complexes also give  $s\mathbf{Set}$  the structure of a *category enriched in simplicial sets*.

## 10 The simplex category and realization

Suppose  $X$  is a simplicial set.

The **simplex category**  $\Delta/X$  has for objects all simplices  $\Delta^n \rightarrow X$ .

Its morphisms are the *incidence relations* between the simplices, meaning all commutative diagrams

$$\begin{array}{ccc} \Delta^m & & \\ \theta \downarrow & \searrow \tau & \\ \Delta^n & \nearrow \sigma & X \end{array} \quad (1)$$

$\Delta/X$  is a type of *slice category*. It is denoted by  $\Delta \downarrow X$  in [2]. See also [6].

In the broader context of homotopy theories associated to a test category (long story — see [4]) one says that the simplex category is a *cell category*.

**Exercise:** Show that a simplicial set  $X$  is a colimit of its simplices, ie. the simplices  $\Delta^n \rightarrow X$  define a simplicial set map

$$\varinjlim_{\Delta^n \rightarrow X} \Delta^n \rightarrow X,$$

which is an isomorphism.

There is a space  $|X|$ , called the **realization** of the simplicial set  $X$ , which is defined by

$$|X| = \varinjlim_{\Delta^n \rightarrow X} |\Delta^n|.$$

Here  $|\Delta^n|$  is the topological standard  $n$ -simplex, as described in Section 4.

$|X|$  is the colimit of the functor  $\Delta/X \rightarrow \mathbf{CGWH}$  which takes the morphism (1) to the map

$$|\Delta^m| \xrightarrow{\theta} |\Delta^n|.$$

The assignment  $X \mapsto |X|$  defines a functor

$$|| : s\mathbf{Set} \rightarrow \mathbf{CGWH},$$

called the **realization functor**.

**Lemma 10.1.** *The realization functor is left adjoint to the singular functor  $S : \mathbf{CGWH} \rightarrow s\mathbf{Set}$ .*

*Proof.* A simplicial set  $X$  is a colimit of its simplices. Thus, for a simplicial set  $X$  and a space  $Y$ ,

there are natural isomorphisms

$$\begin{aligned}
\text{hom}(X, S(Y)) &\cong \text{hom}\left(\varinjlim_{\Delta^n \rightarrow X} \Delta^n, S(Y)\right) \\
&\cong \varprojlim_{\Delta^n \rightarrow X} \text{hom}(\Delta^n, S(Y)) \\
&\cong \varprojlim_{\Delta^n \rightarrow X} \text{hom}(|\Delta^n|, Y) \\
&\cong \text{hom}\left(\varinjlim_{\Delta^n \rightarrow X} |\Delta^n|, Y\right) \\
&= \text{hom}(|X|, Y).
\end{aligned}$$

□

**Remark:** Kan introduced the concept of adjoint functors to describe the relation between the realization and singular functors.

**Examples:**

- 1)  $|\Delta^n| = |\Delta^n|$ , since the simplex category  $\Delta/\Delta^n$  has a terminal object, namely  $1 : \Delta^n \rightarrow \Delta^n$ .
- 2)  $|\partial\Delta^n| = |\partial\Delta^n|$  and  $|\Lambda_k^n| = |\Lambda_k^n|$ , since the realization functor is a left adjoint and therefore preserves coequalizers and coproducts.

The  $n^{\text{th}}$  **skeleton**  $\text{sk}_n X$  of a simplicial set  $X$  is the subobject generated by the simplices  $X_i$ ,  $0 \leq i \leq n$ . The ascending sequence of subcomplexes

$$\text{sk}_0 X \subset \text{sk}_1 X \subset \text{sk}_2 X \subset \dots$$

defines a filtration of  $X$ , and there are pushout diagrams

$$\begin{array}{ccc} \bigsqcup_{x \in NX_n} \partial \Delta^n & \longrightarrow & \text{sk}_{n-1} X \\ \downarrow & & \downarrow \\ \bigsqcup_{x \in NX_n} \Delta^n & \longrightarrow & \text{sk}_n X \end{array} \quad (2)$$

$NX_n$  is the set of non-degenerate  $n$ -simplices of  $X$ .

$\sigma \in X_n$  is **non-degenerate** if it is not of the form  $s_j(y)$  for some  $(n-1)$ -simplex  $y$  and some  $j$ .

**Exercise:** Show that the diagram (2) is indeed a pushout.

For this, it's helpful to know that the functor  $X \mapsto \text{sk}_n X$  is left adjoint to truncation up to level  $n$ .

For *that*, you should know that every simplex  $x$  of a simplicial set  $X$  has a unique representation  $x = s^*(y)$  where  $s : \mathbf{n} \twoheadrightarrow \mathbf{k}$  is an ordinal number epi and  $y \in X_k$  is non-degenerate.

**Corollary 10.2.** *The realization  $|X|$  of a simplicial set  $X$  is a CW-complex.*

Every monomorphism  $A \rightarrow B$  of simplicial sets induces a cofibration  $|A| \rightarrow |B|$  of spaces. ie.  $|B|$  is constructed from  $|A|$  by attaching cells.

**Lemma 10.3.** *The realization functor preserves finite limits.*

*Proof.* There are isomorphisms

$$\begin{aligned}
|X \times Y| &\cong \left| \varinjlim_{\Delta^n \rightarrow X, \Delta^m \rightarrow Y} \Delta^n \times \Delta^m \right| \\
&\cong \varinjlim_{\Delta^n \rightarrow X, \Delta^m \rightarrow Y} |\Delta^n \times \Delta^m| \\
&\cong \varinjlim_{\Delta^n \rightarrow X, \Delta^m \rightarrow Y} |\Delta^n| \times |\Delta^m| \\
&\cong |X| \times |Y|
\end{aligned}$$

One shows that the canonical maps

$$|\Delta^n \times \Delta^m| \rightarrow |\Delta^n| \times |\Delta^m|$$

are isomorphisms with an argument involving shuffles — see [1, p.52].

If  $\sigma, \tau : \Delta^n \rightarrow Y$  are simplices such that

$$|\sigma| = |\tau| : |\Delta^n| \rightarrow |Y|,$$

then  $\sigma = \tau$  (exercise).

Suppose  $f, g : X \rightarrow Y$  are simplicial set maps, and  $x \in |X|$  is an element such that  $f_*(x) = g_*(x)$ .

If  $\sigma$  is the “carrier” of  $x$  (ie. non-degenerate simplex of  $X$  such that  $x$  is interior to the cell defined by  $\sigma$ ), then  $f_*(y) = g_*(y)$  for all  $y$  in the interior of

$|\sigma|$  (by transforming by a suitable automorphism of the cosimplicial space  $|\Delta|$  — see [1, p.51]).

But then

$$|f\sigma| = |g\sigma| : |\Delta^n| \rightarrow |Y|,$$

so  $f\sigma = g\sigma$  and  $x \in |E|$ , where  $E$  is the equalizer of  $f$  and  $g$  in  $s\mathbf{Set}$ .  $\square$

## 11 Model structure for simplicial sets

A map  $f : X \rightarrow Y$  of simplicial sets is a **weak equivalence** if  $f_* : |X| \rightarrow |Y|$  is a weak equivalence of **CGWH**.

A map  $i : A \rightarrow B$  of simplicial sets is a **cofibration** if and only if it is a monomorphism, ie. all functions  $i : A_n \rightarrow B_n$  are injective.

A simplicial set map  $p : X \rightarrow Y$  is a **fibration** if it has the RLP wrt all trivial cofibrations.

**Remark:** There is a natural commutative diagram

$$\begin{array}{ccc} X \sqcup X & \xrightarrow{\nabla} & X \\ (i_0, i_1) \downarrow & \nearrow pr & \\ X \times \Delta^1 & & \end{array} \quad (3)$$

for simplicial sets  $X$ .  $(i_0, i_1)$  is the cofibration

$$1_X \times i : X \times \partial\Delta^1 \rightarrow X \times \Delta^1$$

induced by the inclusion  $i : \partial\Delta^1 \subset \Delta^1$ . The two inclusions  $i_\varepsilon$  of the end points of the cylinder are weak equivalences, as is  $pr : X \times \Delta^1 \rightarrow X$ .

The diagram (3) is a natural cylinder object for the model structure on simplicial sets (see Theorem 11.6). Left homotopy with respect to this cylinder is classical **simplicial homotopy**.

**Lemma 11.1.** *A map  $p : X \rightarrow Y$  is a trivial fibration if and only if it has the RLP wrt all inclusions  $\partial\Delta^n \subset \Delta^n$ ,  $n \geq 0$ .*

*Proof.* 1) Suppose  $p$  has the lifting property.

Then  $p$  has the RLP wrt all cofibrations (exercise: induct through relative skeleta), so the lifting  $s$  exists in the diagram

$$\begin{array}{ccc} \emptyset & \longrightarrow & X \\ \downarrow & \nearrow s & \downarrow p \\ Y & \xrightarrow{1_Y} & Y \end{array}$$

since all simplicial sets are cofibrant.

The lifting  $h$  exists in the diagram

$$\begin{array}{ccc} X \sqcup X & \xrightarrow{(sp,1)} & X \\ \downarrow i & \nearrow h & \downarrow p \\ X \times \Delta^1 & \xrightarrow{p \cdot pr} & Y \end{array}$$

so the map  $p_* : |X| \rightarrow |Y|$  is a homotopy equivalence, hence a weak equivalence.

2) Suppose  $p$  is a trivial fibration and choose a factorization

$$\begin{array}{ccc} X & \xrightarrow{j} & U \\ & \searrow p & \downarrow q \\ & & Y \end{array}$$

such that  $j$  is a cofibration and  $q$  has the RLP wrt all maps  $\partial\Delta^n \subset \Delta^n$  (such things exist by a small object argument).

$q$  is a weak equivalence by part 1), so  $j$  is a trivial cofibration and the lift  $r$  exists in the diagram

$$\begin{array}{ccc} X & \xrightarrow{1_X} & X \\ j \downarrow & \nearrow r & \downarrow p \\ U & \xrightarrow{q} & Y \end{array}$$

Then  $p$  is a retract of  $q$ , and has the RLP. □

Say that a simplicial set  $A$  is **countable** if it has countably many non-degenerate simplices.

A simplicial set  $K$  is **finite** if it has only finitely many non-degenerate simplices, eg.  $\Delta^n$ ,  $\partial\Delta^n$ ,  $\Lambda_k^n$ .

**Fact:** If  $X$  is countable (resp. finite), then all sub-complexes of  $X$  are countable (resp. finite).

The following result is proved with simplicial approximation techniques:

**Lemma 11.2.** *Suppose that  $X$  has countably many non-degenerate simplices.*

*Then  $\pi_0|X|$  and all homotopy groups  $\pi_n(|X|, x)$  are countable.*

*Proof.* Suppose  $x$  is a vertex of  $X$ , identified with  $x \in |X|$ .

A continuous map

$$(|\Delta^k|, |\partial\Delta^k|) \rightarrow (|X|, x)$$

is homotopic, rel boundary, to the realization of a simplicial set map

$$(\text{sd}^N \Delta^k, \text{sd}^N \partial\Delta^k) \rightarrow (X, x),$$

by simplicial approximation [3].

The (iterated) subdivisions  $\text{sd}^M \Delta^k$  are finite complexes, and there are only countably many maps  $\text{sd}^M \Delta^k \rightarrow X$  for  $M \geq 0$ .  $\square$

Here's a consequence:

**Lemma 11.3** (Bounded cofibration lemma). *Suppose given cofibrations*

$$\begin{array}{ccc} & X & \\ & \downarrow i & \\ A & \longrightarrow & Y \end{array}$$

where  $i$  is trivial and  $A$  is countable.

Then there is a countable  $B \subset Y$  with  $A \subset B$ , such that the map  $B \cap X \rightarrow B$  is a trivial cofibration.

*Proof.* Write  $B_0 = A$  and consider the map

$$B_0 \cap X \rightarrow B_0.$$

The homotopy groups of  $|B_0|$  and  $|B_0 \cap X|$  are countable, by Lemma 11.2.

$Y$  is a union of its countable subcomplexes.

Suppose that

$$\alpha, \beta : (|\Delta^n|, |\partial\Delta^n|) \rightarrow (|B_0 \cap X|, x)$$

become homotopic in  $|B_0|$  hence in  $|X|$ .

The map defining the homotopy in  $|X|$  is compact (ie. defined on a  $CW$ -complex with finitely many cells), so there is a countable  $B' \subset Y$  with  $B_0 \subset B'$  such that the homotopy lives in  $|B' \cap X|$ .

The image in  $|Y|$  of any morphism

$$\gamma: (|\Delta^n|, |\partial\Delta^n|) \rightarrow (|B_0|, x)$$

lifts to  $|X|$  up to homotopy, and that homotopy lives in  $|B''|$  for some countable subcomplex  $B'' \subset Y$  with  $B_0 \subset B''$ .

It follows that there is a countable subcomplex  $B_1 \subset Y$  with  $B_0 \subset B_1$  such that any two elements

$$[\alpha], [\beta] \in \pi_n(|B_0 \cap X|, x)$$

which map to the same element in  $\pi_n(|B_0|, x)$  must also map to the same element of  $\pi_n(|B_1 \cap X|, x)$ , and every element

$$[\gamma] \in \pi_n(|B_0|, x)$$

lifts to an element of  $\pi_n(|B_1 \cap X|, x)$ , and this for all  $n \geq 0$  and all (countably many) vertices  $x$ .

Repeat the construction inductively, to form a countable collection

$$A = B_0 \subset B_1 \subset B_2 \subset \dots$$

of subcomplexes of  $Y$ .

Then  $B = \bigcup B_i$  is a countable subcomplex of  $Y$ , and the map  $B \cap X \rightarrow B$  is a weak equivalence.  $\square$

Say that a cofibration  $A \rightarrow B$  is **countable** if  $B$  is countable.

**Lemma 11.4.** *Every simplicial set map  $f : X \rightarrow Y$  has a factorization*

$$\begin{array}{ccc} X & \xrightarrow{i} & Z \\ & \searrow f & \downarrow q \\ & & Y \end{array}$$

*such that  $q$  has the RLP wrt all countable trivial cofibrations, and  $i$  is constructed from countable trivial cofibrations by pushout and composition.*

The proof of Lemma 11.4 is an example of a *transfinite small object argument*.

Lang's *Algebra* [5] has a quick introduction to cardinal arithmetic.

*Proof.* Choose an uncountable cardinal number  $\kappa$ , interpreted as the (totally ordered) poset of ordinal numbers  $s < \kappa$ .

Construct a system of factorizations

$$\begin{array}{ccc} X & \xrightarrow{i_s} & Z_s \\ & \searrow f & \downarrow q_s \\ & & Y \end{array} \tag{4}$$

of  $f$  with  $j_s$  a trivial cofibration as follows:

- given factorization of the form (4) consider all diagrams

$$D: \begin{array}{ccc} A_D & \longrightarrow & Z_s \\ i_D \downarrow & & \downarrow q_s \\ B_D & \longrightarrow & Y \end{array}$$

such that  $i_D$  is a countable trivial cofibration, and form the pushout

$$\begin{array}{ccc} \bigsqcup_D A_D & \longrightarrow & Z_s \\ \downarrow & & \downarrow j_s \\ \bigsqcup_D B_D & \longrightarrow & Z_{s+1} \end{array}$$

Then the map  $j_s$  is a trivial cofibration, and the diagrams together induce a map  $q_{s+1} : Z_{s+1} \rightarrow Y$ . Let  $i_{s+1} = j_s i_s$ .

- if  $\gamma < \kappa$  is a limit ordinal, let  $Z_\gamma = \varinjlim_{t < \gamma} Z_t$ .

Now let  $Z = \varinjlim_{s < \kappa} Z_s$  with induced factorization

$$X \xrightarrow{j} Z \xrightarrow{q} Y$$

Suppose given a lifting problem

$$\begin{array}{ccc} A & \xrightarrow{\alpha} & Z \\ j \downarrow & & \downarrow q \\ B & \longrightarrow & Y \end{array}$$

with  $j : A \rightarrow B$  a countable trivial cofibration. Then  $\alpha(A)$  is a countable subcomplex of  $X$ , so  $\alpha(A) \subset$

$Z_s$  for some  $s < \kappa$ , for otherwise  $\alpha(A)$  has too many elements.

The lifting problem is solved in  $Z_{s+1}$ . □

**Remark:** The map  $j : X \rightarrow Z$  is in the saturation of the set of countable trivial cofibrations.

The **saturation** of a set of cofibrations  $I$  is the smallest class of cofibrations containing  $I$  which is closed under pushout, coproducts, (long) compositions and retraction.

If a map  $p$  has the RLP wrt all maps of  $I$  then it has the RLP wrt all maps in the saturation of  $I$ . (exercise)

Classes of cofibrations which are defined by a left lifting property with respect to some family of maps are saturated in this sense. (exercise)

**Lemma 11.5.** *A map  $q : X \rightarrow Y$  is a fibration if and only if it has the RLP wrt (the set of) all countable trivial cofibrations.*

We use a recurring trick for the proof of this result. It amounts to verifying a “solution set condition”.

*Proof.* 1) Suppose given a diagram

$$\begin{array}{ccc} A & \longrightarrow & X \\ j \downarrow & & \downarrow f \\ B & \longrightarrow & Y \end{array}$$

where  $j$  is a cofibration,  $B$  is countable and  $f$  is a weak equivalence.

Lemma 11.1 says that  $f$  has a factorization  $f = q \cdot i$ , where  $i$  is a trivial cofibration and  $q$  has the RLP wrt all cofibrations.

The lift exists in the diagram

$$\begin{array}{ccc} A & \longrightarrow & X \\ j \downarrow & \theta \nearrow & \downarrow i \\ & & Z \\ & \searrow & \downarrow q \\ B & \longrightarrow & Y \end{array}$$

$\theta(B)$  is countable, so there is a countable subcomplex  $D \subset Z$  with  $\theta(B) \subset D$  such that the map  $D \cap X \rightarrow D$  is a trivial cofibration.

We have a factorization

$$\begin{array}{ccccc} A & \longrightarrow & D \cap X & \longrightarrow & X \\ j \downarrow & & \downarrow & & \downarrow f \\ B & \longrightarrow & D & \longrightarrow & Y \end{array}$$

of the original diagram through a countable trivial cofibration.

2) Suppose that  $i : C \rightarrow D$  is a trivial cofibration.

Then  $i$  has a factorization

$$\begin{array}{ccc} C & \xrightarrow{j} & E \\ & \searrow i & \downarrow p \\ & & D \end{array}$$

such that  $p$  has the RLP wrt all countable trivial cofibrations, and  $j$  is built from countable trivial cofibrations by pushout and composition. Then  $j$  is a weak equivalence, so  $p$  is a weak equivalence.

Part 1) implies that  $p$  has the RLP wrt all countable cofibrations, and hence wrt all cofibrations.

The lift therefore exists in the diagram

$$\begin{array}{ccc} C & \xrightarrow{j} & E \\ i \downarrow & \theta \nearrow & \downarrow p \\ D & \xrightarrow{1_D} & D \end{array}$$

so  $i$  is a retract of  $j$ .

Thus, if  $q : Z \rightarrow W$  has the RLP wrt all countable trivial cofibrations, then it has the RLP wrt all trivial cofibrations.  $\square$

**Exercise:** Find a different, simpler proof for Lemma 11.5. Hint: use Zorn's lemma.

**Theorem 11.6.** *With the definitions of weak equivalence, cofibration and fibration given above the category  $s\mathbf{Set}$  of simplicial sets satisfies the axioms for a closed model category.*

*Proof.* The axioms **CM1**, **CM2** and **CM3** are easy to verify.

Every map  $f : X \rightarrow Y$  has a factorization

$$\begin{array}{ccc} X & \xrightarrow{j} & W \\ & \searrow f & \downarrow q \\ & & Y \end{array}$$

such that  $j$  is a cofibration and  $q$  is a trivial fibration — this follows from Lemma 11.1 and a standard small object argument. The other half of the factorization axiom **CM5** is a consequence of Lemma 11.4 and Lemma 11.5.

**CM4** also follows from Lemma 11.1. □

**Remark:** In the adjoint pair of functors

$$|| : s\mathbf{Set} \rightleftarrows \mathbf{CGWH} : S$$

the realization functor (the left adjoint part) preserves cofibrations and trivial cofibrations. It's an immediate consequence that the singular functor  $S$  preserves fibrations and trivial fibrations.

Adjunctions like this between closed model category are called **Quillen adjunctions** or **Quillen pairs**. We'll see later on, and this is a huge result, that these functors form a Quillen equivalence.

**Remark:** We defined the weak equivalences of simplicial sets to be those maps whose realizations are weak equivalences of spaces. In this way, the model structure for  $s\mathbf{Set}$ , as it is described here, is *induced* from the model structure for  $\mathbf{CGWH}$  via the realization functor  $| \cdot |$ .

Alternatively, one says that the model structure on simplicial sets is obtained from that on spaces by *transfer*.

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