## Homotopy theories of dynamical systems

### **Rick Jardine**

University of Western Ontario

July 15, 2013

Rick Jardine Homotopy theories of dynamical systems

< E.

A *dynamical system* (or *S-dynamical system*, or *S-space*) is a map of simplicial sets

 $\phi: X \times S \to X,$ 

giving an action of a *parameter space* S on a *state space* X. Equivalently, a dynamical system is a map

 $\phi_*: S \to \mathsf{hom}(X, X)$ 

into the topological monoid of endomorphisms of X.

 $s \mapsto \phi_*(s) : X \to X$  is continuous in  $s \in S$ .

If S has a monoidal structure, then  $\phi_*$  is required to be a homomorphism.

Most often, X is a manifold, and S is a time parameter which is a submanifold of the real numbers.

If S = \* is a one-point space, then a dynamical system parameterized by S is just a map  $X \rightarrow X$ .

The free monoid on the one-point space is a copy of  $\mathbb N,$  and so there is an associated monoid map

 $f_*: \mathbb{N} \to \mathsf{hom}(X, X)$ 

Cellular automata:  $X = (\mathbb{Z}^n)^k$  consists of points in an integral lattice, each of which can be in a set of k states.

A morphism  $f : X \to Y$  of S-spaces is a map  $f : X \to Y$  which preserves the respective S-actions. Morphisms are also called S-equivariant maps.

S - s**Set** is the category of *S*-spaces and their morphisms.

**Question**: (Carlsson) What could be meant by a homotopy theory of dynamical systems, or *S*-spaces?

**Naive Definition**: A map  $X \rightarrow Y$  of *S*-spaces is a *weak equivalence* if and only if the underlying map of simplicial sets (spaces) is a weak equivalence.

This is analogous to the traditional naive definition of G-equivariant weak equivalence for spaces equipped with an action by a group G.

伺 ト イ ヨ ト イ ヨ ト

# Varying the parameter space

It should mean something in the homotopy theory of dynamical systems if the parameter space S is contractible.

We need a category of dynamical systems which contains the S-space categories for all parameter spaces S, and for which we can vary S.

A map  $(\theta, f) : X \to Y$  consists of maps  $\theta : S \to T$  and  $f : X \to Y$  such that the following commutes:

There is a homotopy theory for this category, but the weak equivalences are more difficult to describe.

Feel good fact: if  $\theta$  and f are weak equivalences, then  $(\theta, f)$  is a weak equivalence for this theory (whatever it is).

A closed model category is a category  $\mathcal{M}$  equipped with weak equivalences, fibrations and cofibrations s.t. the following hold:

 $\textbf{CM1}: \ \mathcal{M} \ \text{has all limits and colimits.}$ 

**CM2**: If any two of f, g,  $g \cdot f$  is a weak equivalence, so is the third. **CM3**: Weak equivalences, cofibrations and fibrations are closed under retraction.

**CM4**: Given a cofibration i, a fibration p and diagram



then the lift exists if either *i* or *p* is a weak equivalence (trivial). **CM5**: Every *f* has  $f = p \cdot j = q \cdot i$ , where *p* is a fibration, *j* is a triv. cofibration, *q* is a triv. fibration, *j* is a cofibration.

# Examples: ordinary homotopy theory

s**Set** = simplicial sets, and **Top** = topological spaces.

Fibrations for **Top** are Serre fibrations, and weak equivalences are weak homotopy equivalences. *CW*-complexes are cofibrant objects.

There are adjoint functors

| | : sSet  $\leftrightarrows$  Top : S

The weak equivalences  $X \to Y$  of **sSet** are those maps which induce weak equivalences  $|X| \to |Y|$ , and the cofibrations are monomorphisms. Fibrations are Kan fibrations.

The adjoint functors form a "Quillen equivalence", and induce an adjoint equivalence of homotopy categories

 $| | : Ho(sSet) \leftrightarrows Ho(Top) : S$ 

A map  $f: X \to Y$  of S-spaces is a

- 1) weak equivalence if f is a weak equivalence of simplicial sets
- 2) cofibration if f is a monomorphism
- 3) projective fibration if f is a Kan fibration.

An *injective fibration* is a map which has the right lifting property (RLP) with respect to all trivial cofibrations.

A *projective cofibration* is a map which has the left lifting property (LLP) with respect to all trivial projective fibrations.



#### Theorem

Suppose that S is a fixed choice of parameter space.

- 1) The category S s**Set**, together with the cofibrations, weak equivalences and injective fibrations, satisfies the axioms for a proper closed simplicial model category. This model structure is cofibrantly generated.
- The category S sSet, together with the projective cofibrations, weak equivalences and projective fibrations, satisfies the axioms for a proper closed simplicial model category. This model structure is cofibrantly generated.

The proof follows a pattern that we know: *p* is an injective fibration if and only if it has the RLP wrt all bounded trivial cofibrations, and part 1) implies part 2).

F(S) is the free simplicial monoid associated to a space S:

$$F(S) = * \sqcup S \sqcup S^{\times 2} \sqcup S^{\times 3} \sqcup \dots$$

and an S-space  $X \times S \to X$  is canonically a module over F(S).

Alternatively, F(S) is a simplicial category (or a category enriched in simplicial sets, with one object) and X is an F(S)-diagram.

**Definition**: A *simplicial category A* is a simplicial object in categories.

A consists of simplicial sets Ob(A) and Mor(A) such that all categorical structure  $s, t : Mor(A) \to Ob(A), e : Ob(A) \to Mor(A)$ , compositions, are compatible with the simplicial structure.

**Definition**: A category enriched in simplicial sets is a simplicial category B such that Ob(B) is discrete (ie. generated by vertices).

- 4 回 ト 4 ヨト 4 ヨト

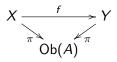
## Internal diagrams

A = simplicial category. An *A*-diagram in simplicial sets consists of a simplicial set map  $\pi : X \to Ob(A)$  and an action diagram

$$\begin{array}{ccc} X \times_{s} \operatorname{Mor}(A) & \xrightarrow{m} & X \\ & \downarrow & & \downarrow_{\pi} \\ & \operatorname{Mor}(A) & \xrightarrow{t} & \operatorname{Ob}(A) \end{array} (x, \alpha) \mapsto \alpha(x)$$

such that 1(x) = x and  $\beta(\alpha(x)) = (\beta\alpha)(x)$ .

**Set**<sup>*A*</sup> is the category of *A*-diagrams. A *morphism* (natural transformation) is a commutative diagram



which respects the multiplication.

# Example: Ordinary functors

A functor  $F : I \rightarrow \mathbf{Set}$  consists of sets F(i),  $i \in Ob(I)$ , and morphisms  $F(\alpha) : F(i) \rightarrow F(j)$  satisfying the usual properties. Alternatively, F consists of a function

$$\pi: F = \bigsqcup_{i \in \mathsf{Ob}(I)} F(i) \to \bigsqcup_{i \in \mathsf{Ob}(I)} * = \mathsf{Ob}(I),$$

and a morphism

$$m: F \times_{s} \operatorname{Mor}(I) = \bigsqcup_{\alpha: i \to j} F(i) \to \bigsqcup_{j} F(j) = F$$

A natural transformation of functors  $\alpha: F \to G$  is a function

$$\bigsqcup_{i\in \operatorname{Ob}(I)} F(i) \to \bigsqcup_{i\in \operatorname{Ob}(I)} G(i)$$

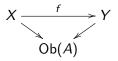
which is fibred over Ob(I).

# Homotopy theory of diagrams, 1

A = category enriched in simplicial sets (ie. Ob(A) is discrete).

A map  $f: X \to Y$  of A-diagrams is

1) a weak equivalence if the map



is a weak equivalence of s**Set**/ Ob(A)

- 2) a *cofibration* if the simplicial set map f is a monomorphism
- 3) a *projective fibration* if the simplicial set map f is a Kan fibration.

An *injective fibration* is a map which has the right lifting property with respect to all trivial cofibrations.

A *projective cofibration* is a map which has the left lifting property with respect to all trivial projective fibrations.

### Theorem

Suppose that A is a category which is enriched in simplicial sets.

- The category Set<sup>A</sup>, together with the cofibrations, weak equivalences and injective fibrations, satisfies the axioms for a proper closed simplicial model category. This model structure is cofibrantly generated.
- The category Set<sup>A</sup>, together with the projective cofibrations, weak equivalences and projective fibrations, satisfies the axioms for a proper closed simplicial model category. This model structure is cofibrantly generated.

The theorem is a special case of a result which holds for diagrams of simplicial presheaves over a presheaf of simplicial categories with discrete objects.

# Homotopy colimits

Suppose that  $F : I \rightarrow \mathbf{Set}$  is an ordinary functor.

There is a category  $E_I F$  whose objects are the pairs (x, i) with  $x \in F(i)$ . The morphisms  $\alpha : (x, i) \to (y, j)$  are morphisms  $\alpha : i \to j$  of I such that  $\alpha_*(x) = y$ .

This category has a nerve  $B(E_IF)$ , whose *n*-simplices are strings

$$(x_0, i_0) \xrightarrow{\alpha_1} (x_1, i_1) \xrightarrow{\alpha_2} \dots \xrightarrow{\alpha_n} (x_n, i_n)$$

of length *n*. All that matters here is  $x_0$  and the string in *I*:

$$\underbrace{\operatorname{holim}}_{i_0 \to \cdots \to i_n} F_n = B(E_I F)_n = \bigsqcup_{i_0 \to \cdots \to i_n} F(i_0).$$

This is the *homotopy colimit* for the functor F. It is the space of *finite trajectories* associated to the functor F, or the *space of dynamics* for the functor F.

# Homotopy colimits and pullbacks

A = simplicial category. Every A-diagram  $\pi : X \rightarrow Ob(A)$  determines a bisimplicial set map

$$\underline{\operatorname{holim}}_A X \to BA,$$

by taking homotopy colimit in each simp. degree, giving a functor

$$\underline{\operatorname{holim}}_{A}: \operatorname{\mathbf{Set}}^{A} \to s^{2}\operatorname{\mathbf{Set}}/BA.$$

The pullback functor

$$\mathsf{pb}: s^2\mathbf{Set}/BA \to \mathbf{Set}^A,$$

is defined by taking diagonals of the pullbacks

$$pb(Y)_i \longrightarrow Y$$

$$\downarrow \qquad \qquad \downarrow$$

$$B(A/i) \longrightarrow BA$$

in all simplicial degrees.

A map  $f : X \to Y$  of A-diagrams is a *cofibration* if the underlying simplicial set map is a monomorphism.

 $f: X \rightarrow Y$  is a *weak equivalence* if the induced map

$$\underline{\operatorname{holim}}_{A}X \to \underline{\operatorname{holim}}_{A}Y$$

is a diagonal weak equivalence of bisimplicial sets.

#### Theorem

With these definitions, the category **Set**<sup>A</sup> satisfies the axioms for a proper closed model category.

This is the homotopy colimit model structure for the category of A-diagrams. Schlichtkrull uses a special case in his proof of the Barratt-Kahn-Priddy-Quillen Theorem  $(QS_0^0 \simeq (B\Sigma_{\infty})_+)$ .

# Relation with diagonal model structure

### Theorem

The functors  $\underline{holim}_A$  and pb induce an equivalence of categories

 $\mathsf{Ho}(\mathbf{Set}^{\mathcal{A}}) \simeq \mathsf{Ho}(s^2\mathbf{Set}/B\mathcal{A})$ 

for the homotopy colimit structure on  $\mathbf{Set}^{A}$  and the diagonal structure on  $s^{2}\mathbf{Set}/BA$ .

A bisimplicial set map  $f : X \to Y$  is a *cofibration* if it is a monomorphism.  $f : X \to Y$  is a *diagonal weak equivalence* if the simplicial set map  $f_* : d(X) \to d(Y)$  is a weak equivalence.

#### Theorem

There is a model structure on the category  $s^2$ **Set** for which the cofibrations are the monomorphisms and the weak equivalences are those map  $X \to Y$  which induce a weak equivalence  $d(X) \to d(Y)$  of associated diagonal simplicial sets.

A functor  $f : C \to D$  is a fibration (respectively weak equivalence) if the induced map  $BC \to BD$  is an sd<sup>2</sup>-fibration (respectively weak equivalence).

### Theorem (Thomason)

- 1) With these definitions the category **Cat** of small categories has the structure of a proper closed model category.
- 2) The adjunction

 $P: s\mathbf{Set} \leftrightarrows \mathbf{Cat} : B$ 

is a Quillen equivalence, for the sd<sup>2</sup>-structure on simplicial sets.

*P* is the *path category* functor.

 $PBC \cong C$  for small categories C.

Crux of the proof: if  $K \to L$  is an inclusion of finite simplicial complexes, then all pushout diagrams

$$\begin{array}{c} NBN(K) \rightarrow C \\ \downarrow \\ NBN(L) \rightarrow D \end{array}$$

induce homotopy cocartesian diagrams of simplicial sets.

N(K) is the poset of non-degenerate simplices of a simplicial set K:  $\sigma \leq \tau$  if  $\sigma \in \langle \tau \rangle$ .

BN(K) = sd(K) (order complex of NK) is the barycentric subdivision of K if K is a simplicial complex.

Note that  $BNBN(K) \cong sd^2(K)$  for simplicial complexes K.

The induced functor  $NBN(K) \rightarrow NBN(L)$  is a "Dwyer map".

The subdivision

$$\operatorname{sd}(X) = \lim_{\Delta^n \to X} BN\Delta^n.$$

is a colimit of barycentric subdivisions of simplices.

$$E_X(X)_n = \hom(\mathrm{sd}(\Delta^n), X)$$

for simplicial sets X.

There are adjoint functors

$$sd^n : s\mathbf{Set} \hookrightarrow s\mathbf{Set} : Ex^n$$

and natural weak equivalences  $\operatorname{sd}^n X \xrightarrow{\simeq} X$  and  $Y \xrightarrow{\simeq} \operatorname{Ex}^n Y$  for all simplicial sets X and Y.

 $p: X \to Y$  is an sd<sup>n</sup>-fibration if the map  $Ex^n X \to Ex^n Y$  is a Kan fibration, or if p has the RLP wrt all  $sd^n(\Lambda_k^m) \to sd^n(\Delta^m)$ .

The sd<sup>n</sup>-cofibrations are those maps which have the LLP w.r.t. all maps which are sd<sup>n</sup>-fibrations and weak equivalences.

### Theorem

 The category sSet of simplicial sets, together with the weak equivalences, sd<sup>n</sup>-fibrations, and sd<sup>n</sup>-cofibrations, satisfies the axioms for a proper closed model category.

2) The adjoint pair of functors

$$sd^n : s\mathbf{Set} \hookrightarrow s\mathbf{Set} : Ex^n$$

defines a Quillen equivalence between the standard model structure and the sd<sup>n</sup>-structure for simplicial sets.

$$\operatorname{sd}^{m,n} X = \varinjlim_{\Delta^{p,q} \to X} \operatorname{sd}^m \Delta^p \tilde{\times} \operatorname{sd}^n \Delta^q.$$

 $\tilde{\times}$  is external product:  $\Delta^{p,q} = \Delta^p \tilde{\times} \Delta^q$ .

The functor  $sd^{m,n}$  has a right adjoint  $Ex^{m,n}$ . Both functors preserve diagonal homotopy types.

A map  $f : X \to Y$  of bisimplicial sets is an *sd<sup>m,n</sup>-fibration* if the induced map  $Ex^{m,n} X \to Ex^{m,n} Y$  is a diagonal fibration.

 $sd^{m,n}$ -cofibrations are defined by a left lifting property with respect to trivial fibrations.

### Theorem

- The category s<sup>2</sup>Set, with the sd<sup>m,n</sup>-fibrations, diagonal weak equivalences and sd<sup>m,n</sup>-cofibrations, satisfies the axioms for a proper closed model category.
- 2) The adjoint functors

$$\mathsf{sd}^{m,n}: s^2\mathbf{Set} \leftrightarrows s^2\mathbf{Set}: \mathsf{Ex}^{m,n}$$

define a Quillen equivalence between the diagonal model structure and the sd<sup>m,n</sup>-structure for bisimplicial sets.

# Homotopy types of simplicial categories

A morphism  $f: C \rightarrow D$  of simplicial categories is

- a) a fibration if the map BC 
  ightarrow BD is an sd<sup>2,0</sup>-fibration, and
- b) a weak equivalence if the map  $BC \rightarrow BD$  is a diagonal equivalence.

### Theorem

- 1) With these definitions, the category s**Cat** satisfies the axioms for a proper closed model category.
- 2) The adjunction

$$P: s^2$$
**Set**  $\leftrightarrows s$ **Cat** :  $B$ 

defines a Quillen equivalence between simplicial categories and the sd<sup>2,0</sup>-model structure for bisimplicial sets.

Let *s***Dia** be the category whose objects are bisimplicial set maps  $X \rightarrow BC$  where *C* is a simplicial category. Say that a morphism

$$\begin{array}{c} X \xrightarrow{f} Y \\ \downarrow & \downarrow \\ BC \xrightarrow{g} BD \end{array}$$

is a weak equivalence if f and g are weak equivalences, and is a fibration if the maps  $g:BC\to BD$  and

$$X \to BC \times_{BD} Y$$

are  $sd^{2,0}$ -fibrations. Say that the map is a cofibration if f is an  $sd^{2,0}$ -cofibration and g is a cofibration of simplicial categories.

### Theorem

With these definitions, the category s**Dia** satisfies the axioms for a closed model category.

1) We regard bisimplicial set maps  $Y \rightarrow BA$  as A-diagrams, but that's okay: every A-diagram Y can be recovered from the map  $\underset{A}{\text{holim}}_{A}Y \rightarrow BA$  up to sectionwise weak equivalence, via the pullback functor.

2) We now have a homotopy theory for *all* dynamical systems: dynamical systems and parameter spaces can be varied simultaneously.

3) All of this can be topologized. There is a model structure for presheaves of simplicial categories which is defined by an  $sd^{2,0}$ -model structure for bisimplicial presheaves, and a corresponding model structure on diagram objects  $Y \rightarrow BA$  in bisimplicial presheaves, all over an arbitrary Grothendieck site.

- Paul G. Goerss and John F. Jardine. Simplicial homotopy theory. Modern Birkhäuser Classics. Basel: Birkhäuser Verlag, 2009
- J. F. Jardine. "Diagrams and torsors". In: *K-Theory* 37.3 (2006), pp. 291–309. ISSN: 0920-3036
- J. F. Jardine. "Path categories and resolutions". In: *Homology Homotopy Appl.* 12.2 (2010), pp. 231–244. ISSN: 1532-0073
- J. F. Jardine. "Diagonal model structures". In: *Theory Appl. Categ.* 28 (2013), pp. 250–268
- J. F. Jardine. "Homotopy theories of diagrams". In: *Theory Appl. Categ.* 28 (2013), pp. 269–303

白 ト イ ヨ ト イ ヨ