

# Functional Analysis

## Assignment 4

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1. Let  $T$  be a compact operator and  $|T| = (T^*T)^{1/2}$  its absolute value as defined in class. Let

$$\mu_0(T) \geq \mu_1(T) \geq \mu_2(T) \cdots$$

denote the list of eigenvalues of  $|T|$  in decreasing order.

- a) Use the minimax principle to show that

$$|\mu_n(T_1) - \mu_n(T_2)| \leq \|T_1 - T_2\|.$$

- b) Show that

$$\mu_{m+n}(T_1 + T_2) \leq \mu_m(T_1) + \mu_n(T_2).$$

- c) Let

$$\sigma_N(T) = \mu_0(T) + \cdots + \mu_{N-1}(T).$$

Show that

$$\sigma_N(T_1 + T_2) \leq \sigma_N(T_1) + \sigma_N(T_2).$$

2. Prove that  $\ell_\infty = \ell_1^*$ , but that  $\ell_\infty^* \neq \ell_1$  by using the Hahn-Banach theorem.
3. a) Let  $T$  be a *nilpotent operator*, i.e.,  $T^n = 0$  for some positive integer  $n$ . Show that  $1 + T$  is invertible.  
b) Use a) to show that  $\sigma(T) = \{0\}$ , if  $T$  is nilpotent.

4. Show that for the Volterra integral operator  $V$ ,

$$\sigma(V) = \{0\}.$$

Is  $V$  a nilpotent operator?

5. Show that for any two operators  $a$  and  $b$  we have

$$\sigma(ab) \setminus \{0\} = \sigma(ba) \setminus \{0\}.$$

That is,  $ab$  and  $ba$  have the same spectrum except for one point  $0$ . Show that  $\sigma(ab)$  and  $\sigma(ba)$  can be different.

6. Let  $S \subset \mathbb{C}$  be a compact non-empty subset of the plane. Show that there is a bounded operator  $T$  on a Hilbert space such that

$$\sigma(T) = S.$$

7. Let  $T$  be a normal operator. Show that its spectral radius  $r(T) = \|T\|$ .